

### **FEE TRANSMITTAL FOR FY 2009**

(Effective on 10/02/2008. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). TOTAL AMOUNT OF PAYMENT (\$) 0.00\_ Complete if Known: 10/511,016 Application No. \_ May 31, 2005 Filing Date Dong-Hyun Kim First Named Inventor Examiner Name \_ Clark, Amy Lynn Art Unit <u> 1655</u> Attorney Docket No. 8483P002 Applicant claims small entity status. See 37 CFR 1.27. **METHOD OF PAYMENT** (check all that apply) Money Order X None Other (please identify) **Credit Card** Check **Deposit Account** Deposit Account Number: 02-2666 Deposit Account Name: The Director is Authorized to do the following with respect to the above-identified Deposit Account: Charge fee(s) indicated below. Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application. Charge fee(s) indicated below except for the filing fee Credit any overpayments. Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged. Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES Small Entity Large Entity Fee Fee Fee Fee Fee Description Code (\$) Fees Paid (\$) Code (\$) Utility application filing fee 1011 330 2011 165 Utility search fee 1.090/545 1111 540 2111 270 1311 220 2311 110 Utility examination fee Design application filing fee 1012 220 2012 110 Design search fee 460/230 1112 100 2112 50 1312 140 2312 70 Design examination fee 1013 220 2013 110 Plant filing fee 1113 330 2113 165 Plant search fee 720/360 1313 170 2313 85 Plant examination fee 1014 330 2014 165 Reissue filing fee 1114 540 2114 270 Reissue search fee 1,520/760 1314 650 2314 325 Reissue examination fee 1005 220 2005 110 Provisional application filing fee

SUBTOTAL (1) \$ 0.00

### 2. EXCESS CLAIM FEES

<u>Fee D</u>	<u>escrip</u>	<u>otion</u>	
Large	<b>Entity</b>	Small	<b>Entity</b>

Large Entity		Small Entity		
Fee	Fee	Fee	Fee	
Code	(\$)	Code	(\$)	Fee Description
1202	52	2202	26	Each claim over 20
1201	220	2201	110	Each independent claim over 3
1203	390	2203	195	Multiple dependent claims, if not paid
1204	220	2204	110	Reissue: each claim over 20 and more than in the original patent
1205	52	2205	26	Reissue: each independent claim more than in the original patent

	Extra Claims	<u>Fee</u>	Fees Paid (\$)
Total Claims 20 or HP HP = highest number of total claims paid for, if		\$ 26.00 =	·
Independent Claims 3 or HP HP = highest number of independent claims page 1	=X	<u> \$110.00</u> =	·
Multiple Dependent Claims		=	
	s	SUBTOTAL (2)	\$ <u>0.00</u>

## 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 C.F.R. 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Number of each additoinal

Total S	Sheets <u>Extra Sheets</u>		Sheets	50 or fraction thereof				below	Fees paid (\$)	
		- 100 =	=	/ 50 =		_ (round up to whole	number)	X	<u>\$135.00</u>	<del></del>
<u>Large l</u>	Entity	<u>Small</u>	Entity							
Fee	Fee	Fee	Fee	Fee Descri	otion: Ap	plication size f	ee for ea	ach	additional	group of 50 sheets
Code	(\$)	Code	(\$)	beyond init	ial 100 sl	neets (count spec	& drawin	ıgs e	xcept sequen	ices & program listings):
1081	270	2081	135	Utility						
1082	270	2082	135	Design						
1083	270	2083	135	Plant						
1084	270	2084	135	Reissue						
1085	270	2085	135	Provisional						

SUBTOTAL (3)\$ 0.00

Fee from

FEE CALCULATION (continued)									
4. OTHER FEE(S)									
<del>-</del> . <u></u>	IIILIXI LL	-101			Fees Paid (\$)				
Non E	Non-English Specification, \$130 fee (no small entity discount)								
MOII-EI	ignsii əpe	Cilication	, \$130 ICC	(no small entity discount)					
Large I	<b>Entity</b>	Small E							
Fee	Fee	Fee	Fee						
Code	(\$)	Code	(\$)	Fee Description					
1051	130	2051	65 25	Surcharge - late filing fee or oath					
1052 1053	50 130	2052 1053	25 130	Surcharge - late provisional filing fee or cover sheet Non-English specification	<del></del>				
1812	2,520	1812	2,520	For filing a request for ex parte reexamination					
1813	8,800	1813	8,800	Request for inter parties reexamination	<del></del>				
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	<del></del>				
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action					
1251	130	2251	65	Extension for reply within first month					
1252	490	2252	245	Extension for reply within second month					
1253	1,110	2253	555	Extension for reply within third month					
1254	1,730	2254	865	Extension for reply within fourth month					
1255	2,350	2255	1,175	Extension for reply within fifth month					
1401	540	2401	270	Notice of Appeal					
1402	540	2402	270	Filing a brief in support of an appeal					
1403	1,080	2403	540	Request for oral hearing					
1451	1,510	1451	1,510	Petition to institute a public use proceeding					
1452	540	2452	270	Petition to revive – unavoidable					
1453	1,620	2453	810	Petition to revive - unintentional					
1501	1,510	2501	755	Utility issue fee (or reissue)	<del> </del>				
1502	860	2502	430	Design issue fee					
1503	1,190	2503	595	Plant issue fee					
1462	400	1462	400	Petitions to the Commissioner (CFR 1.17(f) Group I)	<del></del>				
1463	200	1463	200	Petitions to the Commissioner (CFR 1.17(g) Group II)					
1464	130	1464	130	Petitions to the Commissioner (CFR 1.17(h) Group III)					
1807	50 400	1807	50 400	Processing fee under 37 CFR 1.17(q)	<del></del>				
1806	180	1806	180 40	Submission of Information Disclosure Stmt					
8021	40	8021	40	Recording each patent assignment per property (times number of properties)					
1809	810	2809	405	For filing a submission after final rejection (see 37 CFR 1.129(a))					
1814	140	2814	70	Statutory Disclaimer					
1810	810	2810	405	For each additional invention to be examined (see 37 CFR 1.19(b))	\ <del></del>				
1801	810	2801	405	Request for Continued Examination (RCE)	·				
1802	900	1802	900	Request for expedited examination of a design application					
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.					
1505	300	1505	300	Publication fee for republication					
1803	130	1803	130	Request for voluntary publication or republication					
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)					
1454	1,410	1454	1,410	Acceptance of unintentionally delayed claim for priority					
Other f	ee (specif	v)	·						
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	or Printed	_	l ester	J. Vincent					
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Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



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STENT &	TRADE	<b>3</b> /	(Effective	e on 10/02/2008. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).	•
1				TOTAL AMOUNT OF PAYMENT (\$) 0.00	
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Filing		nventor		ng-Hyun Kim	
	ner Nan			rk, Amy Lynn	
Art Un		·	165		
	ey Dock	et No.		3P002	
x	_	Appli	cant cla	ims small entity status. See 37 CFR 1.27.	
METH	OD OF	PAYME		neck all that apply)	
	Chec			dit Card Money Order X_ None Other (please iden	tify)
X	Depo	sit Acco			
				unt Number :02-2666	
Ų.	Tb - 5	Depos	IT ACCOL	unt Name:	nt.
<u> </u>				rized to do the following with respect to the above-identified Deposit Accou	iii.
	<u>X</u>			) indicated below. ditional fee(s) or underpayment of fee(s) during the pendency of this application.	
				) indicated below except for the filing fee	
	<u>x</u>			erpayments.	
	$\frac{\hat{x}}{x}$			t or future reply that requires a petition for extension of time should be treated as	•
				riate petition for extension of time and all required fees should be charged.	
				may become public. Credit card information should not be included on this form. P	rovide credit card
		<del></del>			
FEE C	ALCUL	.ATION			
1. BA	SIC FIL	ING, SE	ARCH,	AND EXAMINATION FEES	
Large E	Entity	-	Entity		
Fee	Fee	Fee	Fee	<b>)</b>	
Code	(\$)	Code	(\$)	Fee Description Fees Paid (\$)	•
1011	330	2011	165	Utility application filing fee	
1111	540	2111	270	Utility search fee 1,090/545	
1311	220	2311	110	Utility examination fee	
1012	220	2012	110	Design application filing fee	
1112	100	2112	50	Design search fee 460/230	
1312	140	2312	70	Design examination fee	
1013	220	2013	110	Plant filing fee	
1113	330	2113	165	Plant search fee 720/360	
1313	170	2313	85	Plant examination fee	•
				<u> </u>	
1014	330	2014	165	Reissue filing fee	•
1114	540	2114	270	Reissue search fee 1,520/760	
1314	650	2314	325	Reissue examination fee	
1005	220	2005	110	Provisional application filing fee	
				SUBTOTAL (1) \$ 0.00	
				<del></del>	

#### 2. EXCESS CLAIM FEES Fee Description **Small Entity** Large Entity Fee Fee Fee Fee Code **Fee Description** (\$) Code (\$) Each claim over 20 52 2202 26 1202 Each independent claim over 3 1201 220 2201 110 Multiple dependent claims, if not paid 1203 390 2203 195 Reissue: each claim over 20 and more than in the original patent 2204 110 1204 220 Reissue: each independent claim more than in the original patent 1205 2205 26 Fees Paid (\$) Extra Claims Fe<u>e</u> X \$ 26.00 = -20 or HP =**Total Claims** HP = highest number of total claims paid for, if greater than 20 Independent Claims \_\_\_\_ -3 or HP = X \$110.00 = HP = highest number of independent claims paid for, if greater than 3 **Multiple Dependent Claims**

### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 C.F.R. 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

SUBTOTAL (2) \$ 0.00

<u>Total S</u>	heets		Extra	<u>Sheets</u>	Number of each additoinal 50 or fraction thereof		Fee from below	Fees paid (\$)
	<del></del>	<b>– 100</b> :	=	/50 =	(round up to whole number)	X	<u>\$135.00</u>	
<u>Large l</u>		Small		F. D in	Alono Angliankian aina Kan Kan			number of 50 abouts
Fee Code	Fee (\$)	Fee Code	Fee (\$)		tion: Application size fee for e al 100 sheets (count spec & drawin			<del>-</del>
4004		2004		Utility				
1081	270	2081	135					
1082	270	2082	135	Design				
1083	270	2083	135	Plant				
1084	270	2084	135	Reissue				
1085	270	2085	135	Provisional				
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FEE C	ALCULA	TION (co	ntinued)		
4 0	THER FE	F(S)		•	
7. 🗡	11161416	<u> </u>		1	Fees Paid (\$)
Non-E	nalish Sp	ecification	\$130 fee	(no small entity discount)	
14011-21	ngnan op			(No quies area)	
Large		Small E		•	
Fee	Fee	Fee	Fee	Foo Description	
Code	(\$)	Code	(\$) 65	<u>Fee Description</u> Surcharge - late filing fee or oath	ì
1051	130	2051 · 2052	25	Surcharge - late mining lee of Gadi	<del></del>
1052	50	1053	130	Non-English specification	
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1813	8,800 920*	1804	920*	Requesting publication of SIR prior to Examiner action	
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1805 1251	1,840* 130	2251	65	Extension for reply within first month	-
1251	490	2252	245	Extension for reply within second month	
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1253	1,710	2254	865	Extension for reply within fourth month	
1255	•	2255	1,175	Extension for reply within fifth month	
	2,350 540	2401	270	Notice of Appeal	
1401	540 540	2402	270	Filing a brief in support of an appeal	
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	-	2452 2453	810	Petition to revive - unintentional	
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4000	810	2809	405	For filing a submission after final rejection (see 37 CFR 1.129(a))	<del></del>
1809		2814	70	Statutory Disclaimer	
1814	140	2810	405	For each additional invention to be examined (see 37 CFR 1.19(b)	\
1810	810 810	2801	405	Request for Continued Examination (RCE)	′ <del></del>
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1802	300	1504	300	Publication fee for early, voluntary, or normal pub.	
1504 1505	300	1504	300	Publication fee for republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,410	1454	1,410	Acceptance of unintentionally delayed claim for priority	
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Other fo	ee (specif	y)	-	<u> </u>	<del></del>
Other fe	ee (specif	y)			<del></del>
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Typed o	or Printed	Name:	Lester.	J. Vincent	
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Send to: Commissioner for Patents. P.O. Box 1450, Alexandria, VA 22313-1450



Attorney Docket No.: 8483P002

**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:	)
Dong-Hyun Kim, et al.	) Examiner: Clark, Amy Lynn
Application No.: 10/511,016	) Art Unit: 1655
Filed: May 31, 2005	)
For: NOVEL USE OF THE EXTRACT PROCESSED GINSENG AND SPISOLATED THEREFROM	•

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

### **INFORMATION DISCLOSURE STATEMENT**

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

	EXPRESS MA	IL STATEMENT
"Express Mail" mailing label number:	EM327959077US	
Date of Deposit:June 3, 2009		
I hereby state that I am causing this p	paper or fee to be deposited	with the United States Postal Service "Express Mail Post Office to
Addressee" service on the date-indicate	ated above and that this pap	er or fee has been addressed to the Commissioner of Patents,
P.O. Box 1450, Alexandria, YA 22313	3-1450	
Christopher P. Marshall	1 11	_ (Typed or printed name of person mailing paper or fee)
(39). h	anabell.	(Signature of person mailing paper or fee)
	6/3/7009	(Date Signed)
	•/-	

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

<u> </u>	KX	37 C.F	.R. §1.97(b).						
			F.R. §1.97(c). ment is <u>one</u> o			with this	Informatio	on Disclosure	
		A stat	tement pursua	ant to 37 C	.F.R. §1.9	7(e) <u>or</u>			
		A che	eck for \$ <u>180.00</u>	of for the fe	e under 3	37 C.F.R. §	1.17(p).		
			F.R. §1.97(d). ment are the		enclosed	with this	Informati	on Disclosure	
		(1)	A statement	pursuant 1	to 37 C.F.F	R. §1.97(e	); and		
		(2)	A check for \$ of the Inform				C.F.R. §1.1	.7(p) for subm	ission
	If there	are any	additional ch	arges, plea	se charge	Deposit .	Account N	lo. 02-2666.	
				Respectfu	lly submit	ted,			
				BLAKELY,	SOKOLOFF	, TAYLOR	& ZAFMA	AN LLP	
Date:	June 3	3, 2009		Lester J. V	incent			<del></del>	

1279 Oakmead Parkway Sunnyvale, CA 94085-4040 (408) 720-8300 Customer No. 08791

Reg. No. 31,460